

S/N NEW FILING

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LIU ET AL. Examiner: UNKNOWN  
Serial No.: NEW FILING Group Art Unit: UNKNOWN  
Filed: HEREWITH Docket No.: H0001589-D1 (13358.6USD1)  
Title: OPTOELECTRONIC DEVICES AND METHODS OF PRODUCTION

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CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV322886695US  
Date of Deposit: September 24, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Teresa Anderson  
Name: Teresa Anderson

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/037,013 filed on December 31, 2001.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

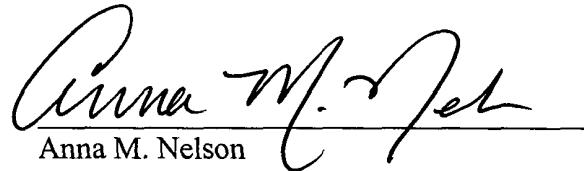
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: September 24, 2003

  
\_\_\_\_\_  
Anna M. Nelson  
Reg. No. 48,935  
AMN:PSTkaw

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>			Docket Number: H0001589-D1 (13358.6USD1)	Application Number: NEW FILING
			Applicant: LIU ET AL.	
			Filing Date: HEREWITH	Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,821,092	06/28/1974	Frobenius			
	3,900,864	08/19/1975	Dapkus et al.			
	4,295,058	10/13/1981	Lade et al.			
	4,467,521	08/28/1984	Spooner et al.			
	4,549,927	10/29/1985	Goth et al.			
	4,643,534	02/17/1987	Chun et al.			
	4,657,628	04/14/1987	Holloway et al.			
	4,675,073	06/23/1987	Douglas			
	4,676,866	06/30/1987	Tang et al.			
	4,717,681	01/05/1988	Curran			
	4,745,081	05/17/1988	Beyer et al.			
	4,746,219	05/24/1988	Holloway et al.			
	4,755,697	07/05/1988	Kinzer			
	4,804,636	02/14/1989	Groover, III et al.			
	4,829,347	05/09/1989	Cheng et al.			
	4,849,344	07/18/1989	Desbiens et al.			
	4,890,141	12/26/1989	Tang et al.			
	4,924,284	05/08/1990	Beyer et al.			
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	5,003,365	03/26/1991	Havemann et al.			
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	5,072,276	12/10/1991	Malhi et al.			
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	5,331,658	07/19/1994	Shieh et al.			
	5,365,082	11/15/1994	Gill et al.			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)			Docket Number: H0001589-D1 (13358.6USDI)	Application Number: NEW FILING
			Applicant: LIU ET AL.	
			Filing Date: HEREWITH	Group Art Unit: UNKNOWN

	5,468,656	11/21/1995	Shieh et al.				
	5,532,173	07/02/1996	Martin et al.				
	5,608,255	03/04/1997	Martin et al.				
	5,683,939	11/04/1997	Schrantz et al.				
	5,869,875	02/09/1999	Hebert				
	5,893,722	04/13/1999	Hibbs-Brenner et al.				
	5,896,408	04/20/1999	Corzine et al.				
	5,977,604	11/02/1999	Babic et al.				
	6,021,147	02/01/2000	Jiang et al.				
	6,097,748	08/01/2000	Huang et al.				

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	